



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D C 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/927.463	08/13/2001	Florence Smadja-Joffe	1721-33

DOCKETED

CONFIRMATION NO. 5388

NIXON & VANDERHYE P.C.
8th Floor
1100 North Glebe Road
Arlington, VA 22201-4714

CLT/MATTER # 1721-33
MAIL DATE 3-29-02
DUE DATE _____
FINAL DEADLINE May 29, 2002
DOCKETED BY pac/mcc

FORMALITIES LETTER



OC000000007742889

Date Mailed: 03/29/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 02/19/2002 to the Notice to File Missing Parts (Notice) mailed 09/17/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

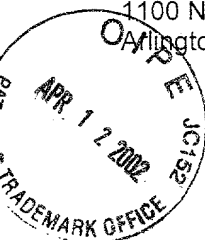
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

The following item(s) appear to have been **omitted** from the application:

- Figure(s) **4C** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed



2002-04-10 09:44:55

within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

202140-09422660

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Atty Dkt. 1721-33

SMADJA-JOFFE et al.

C# M#

Serial No. 09/927,463

Group Art Unit:

Filed: August 13, 2000

Examiner:

Date: April 12, 2002

Title: MEANS FOR REGULATING HEMATOPOIETIC DIFFERENTIATION

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

RESPONSE/AMENDMENT/LETTER

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

Fees are attached as calculated below:

Total effective claims after amendment	0	minus highest number		
previously paid for	20	(at least 20) =	0 x \$ 18.00	\$ 0.00

Independent claims after amendment	0	minus highest number		
previously paid for	3	(at least 3) =	0 x \$ 84.00	\$ 0.00

If proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)	\$ 0.00
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Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920/3 months)	\$ 400.00
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Terminal disclaimer enclosed, add \$ 110.00	\$ 0.00
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<input checked="" type="checkbox"/> First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$740.00)	\$ 0.00
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☐ Please enter the previously unentered, filed

☐ Submission attached

Subtotal \$ 400.00

If "small entity," then enter half (1/2) of subtotal and subtract	-\$ 0.00
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☐ Applicant claims "small entity" status. ☐ Statement filed herewith

Re: 56 Information Disclosure Statement Filing Fee (\$180.00)	\$ 0.00
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Assignment Recording Fee (\$40.00)	\$ 0.00
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Other: Notice of Incomplete Reply; Revised Specification; Marked up Specification; Request For Approval Of proposed Drawing Amendments	0.00
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TOTAL FEE ENCLOSED \$ 400.00

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8th Floor
Arlington, Virginia 22201-4714
Telephone: (703) 816-4000
Facsimile: (703) 816-4100
BJS:eaw

NIXON & VANDERHYTE P.C.
By Atty: B. J. Sadoff, Reg. No. 36,663

Signature: _____

05/09/2002 BSAYAS11 00000001 141140 09927463

01 FC:118 230.00 CH 1210.00 DP



#16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

SMADJA-JOFFE et al.

Atty. Ref.: **1721-33**

Serial No. **09/927,463**

Group:

Filed: **August 13, 2001**

Examiner:

For: **MEANS FOR REGULATING HEMATOPOIETIC
DIFFERENTIATION**

* * * * *

April 12, 2002

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

AMENDMENT

Responsive to the Notice of Incomplete Reply dated March 29, 2002, entry and consideration of the following amendments and remarks are requested; the period for response having been extended up to and including April 17, 2002, by submission of the requisite petition and fee, attached.

IN THE SPECIFICATION

Amend the specification as follows:

Insert the attached substitute copy of the specification for the copy of the application filed in the English text on February 19, 2002.

REMARKS

Reconsideration is requested.

A copy of the Notice of Incomplete Reply dated March 29, 2002, is attached.

Adjustment date: 05/09/2002 BSAYAS11
04/16/2002 BABRAH1 00000002 09927463
01 FC:116 -400.00 DP

A copy of an amended revised specification is attached wherein the description has been amended to include a description of the revised Figures, which have been revised according to the attached Notice. Also attached is a marked up copy of the amended specification wherein the amendments are shown as underlining and strikethrough on pages 18-20 with a vertical line in the right hand marginal space indicating the change. Moreover, the attached marked up copy of the amended specification also includes copies of the originally filed Figures wherein changes are indicated in handwriting in red. No new matter has been added.

The applicants have amended the specification to include the appropriate designation for the Figures in both the text and the Figure legends. Nothing further is believed to be required in this regard.

The indication of Figure 4C on page 19, line 25, of the English text filed February 19, 2002, was in error and the applicants acknowledge that there was no Figure 4C which was filed with the application. The specification was in error in this regard and the application has been amended to delete the designation of Figure 4C in the attached revised specification.

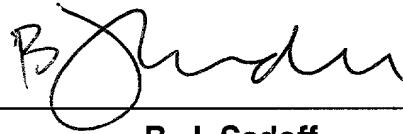
Nothing further is believed to be required in response to the Notice of Incomplete Reply dated March 29, 2002. The undersigned respectfully submits all the formal requirements have been complied with and the application should be passed on for examination. The Office is requested to contact the undersigned however if anything further is required.

SMADJA-JOFFE et al.
Serial No. 09/927,463

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: _____



B. J. Sadoff

Reg. No. 36,663

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09/927,463-04100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

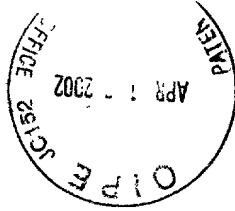
In re Patent Application of

SMADJA-JOFFE et al.

Serial No. 09/927,463

Filed: August 13, 2001

For: MEANS FOR REGULATING HEMATOPOIETIC
DIFFERENTIATION



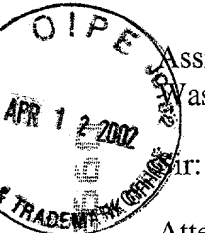
Atty. Ref.: 1721-33

Group:

Examiner:

* * * * *

April 12, 2002



Assistant Commissioner for Patents
Washington, DC 20231

Sir:

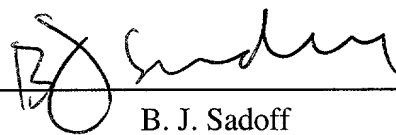
Attention: Chief Draftsperson

REQUEST FOR APPROVAL OF PROPOSED DRAWING AMENDMENTS

Authorization to amend the Figures as shown in the attached revised marked-up copy of the amended specification is requested. The Figures have been amended to include new Figure legends, in response to the Notice of Incomplete Rely dated March 29, 2002 (copy attached). A formal copy of these changes is shown in the attached new revised specification. The draftsperson is requested to further advise the undersigned of any changes which are required in this regard.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: 
B. J. Sadoff
Reg. No. 36,663

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